

# REMARK ON COMPATIBLE AND ORDER-PRESERVING FUNCTION ON LATTICES

by

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## 1. Introduction

Let  $k$  be a positive integer. If  $L$  is a lattice then  $F_k(L)$  denotes the lattice of all functions  $f: L^k \rightarrow L$ . A function  $f \in F_k(L)$  is called *compatible* if for any congruence relation  $\Theta$  of  $L$   $a_i \equiv b_i(\Theta)$ ,  $i=1, 2, \dots, k$  imply  $f(a_1, \dots, a_k) = f(b_1, \dots, b_k)(\Theta)$ ,  $f$  is called *order-preserving*, if  $a_i \leq b_i$ ,  $i=1, \dots, k$ , implies  $f(a_1, \dots, a_k) \leq f(b_1, \dots, b_k)$ . The set of all  $k$ -place compatible functions on  $L$  denoted by  $C_k(L)$ , the set of all  $k$ -place order-preserving functions on  $L$  is  $OF_k(L)$ . In this paper we deal with the following problem: which modular lattices satisfy  $C_k(L) \subset OF_k(L)$ ?

For distributive lattices this problem was solved by D. DORNINGER and G. EIGENTHALER [1]:

**THEOREM 1.** *Let  $L$  be a distributive lattice. Then  $C_k(L) \subseteq OF_k(L)$  if and only if  $L$  contains a proper interval which is a Boolean lattice.*

In [2] we have given a simple modular lattice  $M$  with the property that none of its proper intervals is complemented. In a simple lattice every function  $f: L \rightarrow L$  is of course compatible, hence Theorem 1 cannot be generalized for an arbitrary modular lattice. In [5] R. WILLE and the author has proved the following statement:

Let  $L$  be a modular lattice of finite primitive length. Then  $C_k(L) \subseteq OF_k(L)$  if and only if  $L$  contains a proper interval which is a Boolean lattice.

In connection to this theorem we prove the following

**THEOREM 2.** *There exists a modular lattice  $L$  satisfying the following conditions:*

- (i)  *$L$  is the subdirect product of finite lattices;*
- (ii) *there is a 1-place compatible function  $\varphi \in C_1(L)$  on  $L$  which is not order-preserving;*
- (iii) *none of the proper intervals  $[a, b]$  of  $L$  is complemented.*

We give the proof in two steps. Let  $[a, b]$  and  $[c, d]$  be two isomorphic intervals of a chain  $C$ . The isomorphism  $f: [a, b] \rightarrow [c, d]$  is called an interval-isomorphism,  $f$  is of course a partial operation on  $C$ , and can be extended to a unary operation  $\tilde{f}$  as follows:  $\tilde{f}(x) = f(x)$  for all  $a \leq x \leq b$ ,  $\tilde{f}(x) = f(a)$  if  $x \leq a$  and finally  $\tilde{f}(x) = f(b)$  for  $x \geq b$ . We say that  $\tilde{f}$  is the operation induced by  $f$ . The congruence relations of the partial algebra  $\langle C; f \rangle$  are exactly the congruence relations of  $\langle C, \tilde{f} \rangle$ .  $f$  is determined by  $\tilde{f}$ , hence we can use the same letter for both.

First we construct an algebra  $\mathcal{C} = \langle R, \vee, \wedge, f_i \rangle_{i \in I}$  where  $R$  denotes the bounded chain of rationals, the  $f_i$ -s are special interval-isomorphism. This will be a subdirect product of finite algebras and  $\mathcal{C}$  satisfies (ii), (iii). The second step is the construction of a modular lattice  $L$  which contains  $\mathcal{C}$  as a sublattice and satisfies the given properties.

## 2. The construction of $\mathcal{C}$

It is well-known that a bounded countable chain which is dense-in-itself is determined up to isomorphism. Therefore we can start with the chain  $R$  of rational numbers  $\frac{k}{2^n}$  where  $-2^n \leq k \leq 2^n$ ,  $n=0, 1, \dots$ . We take every  $r \in R$ ,  $r \neq \pm 1$  in two copies  $r$  and  $r'$ , i.e., we split the elements. We set  $1=1'$ ,  $-1=(-1)'$ .

Then we define on the set  $K=\{r, r'; r \in R\}$  an ordering

(1)  $r$  is covered by  $r'$  ( $r \neq \pm 1$ );

(2)  $r' \leq s$  if and only if  $r \leq s$  in  $R$ .

$K$  is a chain and  $R$  is a subchain of  $K$ . The prime intervals of  $K$  are the following:  $[r, r']$  ( $r \neq \pm 1$ ). The function defined by  $f_{10}(r)=r+1$ ,  $f_{10}(r')=(r+1)'$ ,  $f_{10}(-1)=0'$  maps  $[-1, 0]$  onto  $[0', 1]$ .  $f_{10}$  is an interval-isomorphism. On the same way we get functions  $f_{21}, f_{20}$  defined on  $\left[-1, -\frac{1}{2}\right]$  resp.  $\left[\left(-\frac{1}{2}\right)', 0\right]$ ,  $f_{21}(r)=r+\frac{3}{2}$ ,  $f_{21}(r')=r+\frac{3}{2}'$ ,  $f_{21}(-1)=\left(\frac{1}{2}\right)'$ ;  $f_{20}(r)=r+\frac{1}{2}$ ,  $f_{20}(r')=r+\frac{1}{2}'$ . Figure 1 helps to visualize these functions.

On the same way we can define for  $n \geq 1$ ,  $0 \leq k < 2^{n-1}$  the function  $f_{nk}$ :

$$f_{nk}: \left[\left(-\frac{k+1}{2^{n-1}}\right)', -\frac{k}{2^{n-1}}\right] \rightarrow \left[\left(\frac{k}{2^{n-1}}\right)', \frac{k+1}{2^{n-1}}\right],$$

$$f_{nk}(r) = r + \frac{2k+1}{2^{n-1}}.$$

If  $r \in R$ ,  $r \notin \{0, +1, -1\}$  then we define

$$g_r: [-r, (-r)'] \rightarrow [r, r'].$$

Finally, let  $\varphi$  be defined by  $\varphi(1)=-1$ ,  $\varphi(-1)=1$ ,  $\varphi(-r)=r'$ ,  $\varphi((-r)')=r$ . The next step is the description of the congruence relations of  $\langle K, \vee, \wedge, f_{nk}, g_r \rangle = \mathcal{K}_0$ . First we define for each natural number  $n \geq 1$  an equivalence relation  $\Theta_n$  on  $K$ :  $x \equiv y (\Theta_n)$  if and only if there exists a  $k$ ,  $0 \leq k < 2^{n-1}$  such that either  $\left(\frac{k}{2^{n-1}}\right)' \leq x$ ,  $y \leq \frac{k+1}{2^{n-1}}$  or  $\left(-\frac{k+1}{2^{n-1}}\right)' \leq x$ ,  $y \leq -\frac{k}{2^{n-1}}$ . In Figure 1 the wavy lines denote the  $\Theta_2$ -classes. There are two  $\Theta_1$ -classes:  $\{x, x \geq 0'\}$   $\{x; x \leq 0\}$ . It is easy to show that  $\Theta_n$  is a congruence relation of  $\mathcal{K}_0$ ,  $\mathcal{K}_0/\Theta_n$  is finite and  $\bigwedge_{n=0}^{\infty} \Theta_n = \omega$ . By an easy computation — applying the operations  $f_{nk}$  — we get that the principal congruence

$$\Theta \left( \left( \frac{k}{2^{n-1}} \right)', \frac{k+1}{2^{n-1}} \right)$$

is  $\Theta_n$ .

Principal congruences of  $\mathcal{K}_0$  are the congruence relations  $\Theta_n$  and the congruence relations  $\Theta(r, r')$ . All these are compatible with  $\varphi$ , consequently  $\varphi$  is a congruence-

preserving mapping, and  $\varphi$  of course is not order-preserving.  $\mathcal{K}_0$  satisfies (i).  $\mathcal{K}_0$  contains complemented intervals, these are the prime intervals  $[r, r']$ .

For each natural number  $i$  we take an isomorphic copy  $\mathcal{K}_i$  of  $\mathcal{K}_0$  such that  $i \neq j$  implies  $\mathcal{K}_i \cap \mathcal{K}_j = \emptyset$ . We put an isomorphic copy of  $\mathcal{K}_1$  into the prime interval  $[r, r']$  of  $\mathcal{K}_0$ , i.e., we have an isomorphism  $\varphi_r^1: \mathcal{K}_1 \rightarrow [r, r']$ , satisfying  $\varphi_r^1(1_1) = r'$ ,  $\varphi_r^1(0_1) = r$ , where  $0_1$  resp.  $1_1$  are the zero resp. unit elements of  $\mathcal{K}_1$  (see Figure 2).

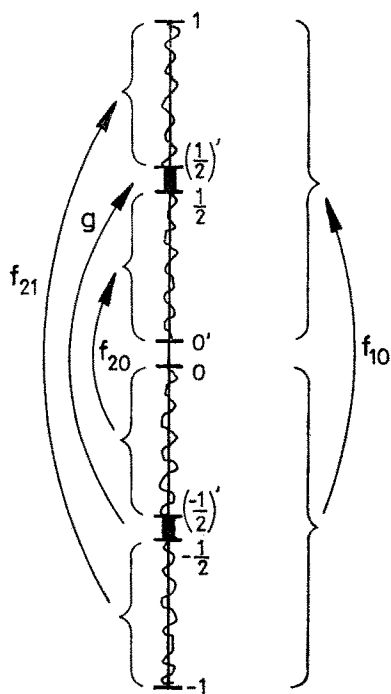


Fig. 1

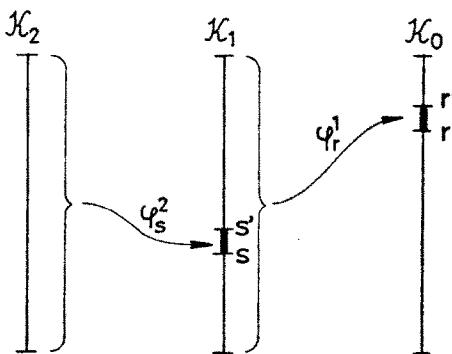


Fig. 2

Using this construction for all prime intervals of  $\mathcal{K}_0$  we get a new chain  $C_0$ .  $\varphi_r^1$  is an isomorphism, hence to the interval-isomorphisms of  $\mathcal{K}_1$  there correspond interval-isomorphisms of  $\varphi_r^1(\mathcal{K}_1) \subset C_0$ . By this construction, the prime intervals of  $\mathcal{K}_0$  in  $C_0$  are isomorphic to  $\mathcal{K}_1$  i.e., to  $\mathcal{K}$ . Continuing this construction we define the isomorphisms

$$\varphi_r^2: \mathcal{K}_2 \rightarrow \mathcal{K}_1$$

then  $\bigcup_{r, s \in R} (\varphi_s^2(\mathcal{K}_2) \cup \varphi_r^1(\mathcal{K}_1) \cup \mathcal{K}_0)$  is a chain  $C_1$ . On this way we get a sequence of chains  $C_0 \subset C_1 \subset \dots$ . Let  $\mathcal{C}$  be the chain  $\bigcup_{i=0}^{\infty} C_i$ , i.e., the direct limit of the  $C_i$ -s.

The conditions (ii) and (iii) are obviously satisfied. We prove that  $\mathcal{C}$  is the subdirect product of finite algebras. We define special congruences  $\Phi_n$  on  $\mathcal{C}$  ( $n=0, 1, \dots$ ) such that  $\mathcal{C}/\Phi_n$  is finite and  $\bigwedge_{n=0}^{\infty} \Phi_n = \omega$ .

By the isomorphism  $\mathcal{K}_0 \cong \mathcal{K}_i$  the image of the congruence relation  $\Theta_n$  is denoted by  $\Theta_n^i$ . Let us take  $\Theta_1^j$  on  $\mathcal{K}_j$  for  $j > n$  and  $\Theta_n^i$  on  $\mathcal{K}_i$  for  $i \leq n$ . By the construction of  $\mathcal{C}$  the image of these congruences defines a congruence relation  $\Phi_n$  on  $\mathcal{C}$ ,  $\Phi_n$  is the transitive hull of all  $\Theta_1^j$  and  $\Theta_n^i$  ( $i \leq n < j$ ). Then it is easy to show that  $\bigwedge \Phi_n = \omega$ . On the other hand from  $\mathcal{K}_0/\Theta_1 \cong 2$  it follows that  $\mathcal{C}/\Phi_n$  is finite.

### 3. The construction of the modular lattice $L$

We denote the chain of all non-negative rational numbers by  $Q^+$  and  $Q^-$  is the chain of all non-positive rationals. We define a sublattice  $D$  of  $Q^+ \times Q^-$ . Let  $A = \{(x, y); 0 \leq x < 1, -1 < y \leq 0\} \subseteq Q^+ \times Q^-$ ,  $B = \{(r, -1); r \geq 1\}$  and

$$C = \{(1, r); r \leq -1\}.$$

Then  $(Q^+ \times Q^-) \setminus \{A \cup B \cup C\}$  is a sublattice  $D$  (see Figure 3).

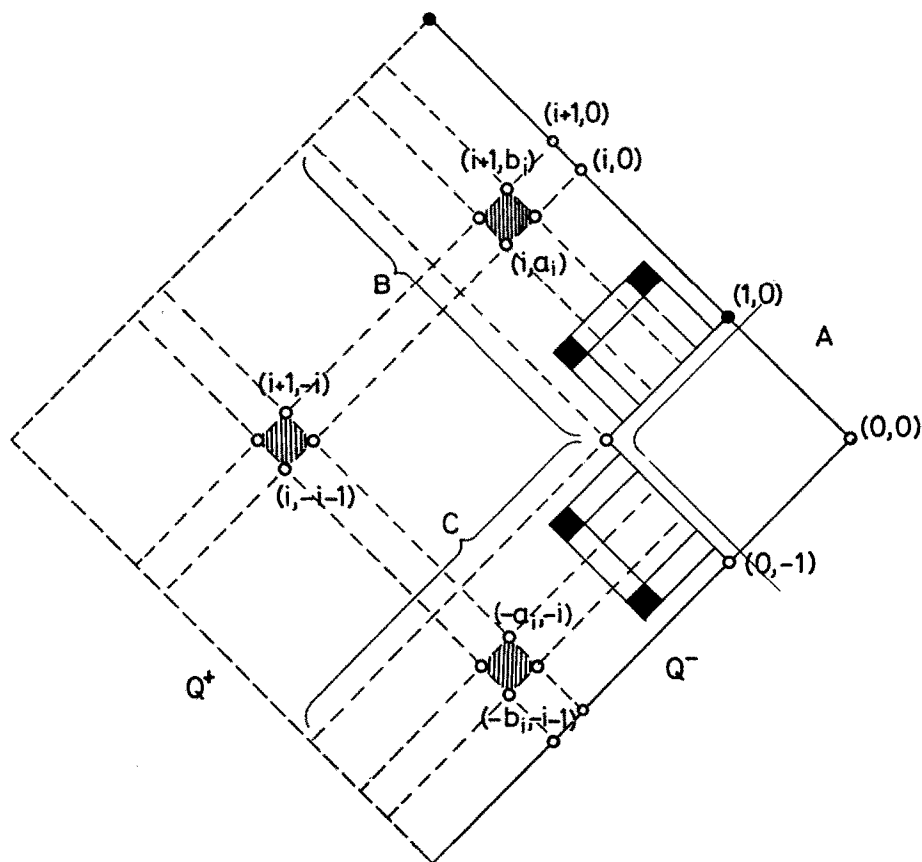


Fig. 3

The elements  $\{(1, r); -1 < r \leq 0\} \cup \{(r, -1); 0 \leq r < 1\}$  form a bounded countable chain which is dense-in-itself, hence we can identify this with the chain  $\mathcal{C}$ . If  $\varphi$  is the congruence-preserving function which is not order-preserving then we can assume:  $\varphi((1, r)) = (-r, -1)$ . Then we can extend this  $\varphi$  to  $D$ :  $\varphi(x, y) = (-y, -x)$ .

Let  $M_3$  be the five-element non-distributive modular lattice and let  $R$  be the bounded chain of rationals. Then there exists a modular lattice  $M_3[R]$  having the following properties:

- (a)  $M_3[R]$  contains a  $\{0, 1\}$ -sublattice  $\{0, a_1, a_2, a_3, 1\}$  isomorphic to  $M_3$ ;
- (b) the interval  $[0, a_1]$  is isomorphic to  $R$ .

This lattice is determined up to isomorphism (see [4]).

An important property of  $M_3[R]$  is that  $\text{Con}(M_3[R])$  is isomorphic to  $\text{Con}(R)$ . The intervals  $[0, a_1]$  and  $[0, a_3]$  are projective.

On  $\mathcal{C}$  we have two different types of operations. Let  $r = [0, 0']$ . Take the operation of  $K_0, \varphi_r^1(K_1), \varphi_r^2 \varphi_r^1(K_2), \dots$ . These are countable many unary operations therefore these may be enumerated, as  $f_1, f_2, \dots$ . Let us assume that the corresponding interval isomorphism is

$$f_i: [a_i, b_i] \rightarrow [-b_i, -a_i].$$

To  $i$  we can associate three intervals of  $D$

$$I_{i1} = [(-b_i, -2(i+1)), (-a_i, 2i)]$$

$$I_{i2} = [(2i, -2(i+1)), (2(i+1), -2i)]$$

$$I_{i3} = [(2i, a_i), (2(i+1), b_i)].$$

All these intervals are isomorphic to  $R \times R$ .

The other type of the operations are the operations of the chains  $K_j, j > 0$ . These may be enumerated as  $g_1, g_2, \dots$ . Let us assume that the corresponding interval isomorphism is

$$g_i: [u_i, v_i] \rightarrow [w_i, z_i].$$

Then we can assume that  $u_i, v_i, w_i, z_i \in 0' \in K_0 \subseteq \mathcal{C}$ . Let  $g_i$  be defined by

$$g'_i: [-v_i, -u_i] \rightarrow [-z_i, -w_i].$$

To each  $g_i$  (resp.  $g'_i$ ) we associate the following two intervals

$$J_{i1} = [(z_i + 1, v_i), (z_i + 2, u_i)]$$

$$J_{i2} = [(2i + 1, z_i), (2i + 1, w_i)].$$

Now, we change each  $I_{ik}, J_{ik}$  to the lattice  $L_{ik} \cong M_3[R]$ . The elements  $0 \leq x \leq a_1, 0 \leq y \leq a_3$  generates a sublattice of  $M_3[R]$  isomorphic to  $I_{ik}$ , i.e.,  $I_{ik}$  is a sublattice of  $L_{ik}$ . This technique was developed in [3]. On this way we get from  $D$  a lattice  $L(\subseteq D)$  in which the intervals  $[a_i, b_i], [-b_i, -a_i] \subseteq C$  (resp.  $[u_i, v_i], [w_i, z_i]$ ) are projective, we say that this projectivity realize the functions  $f_i, g_i$ . Then  $\text{Con}(L) \cong \text{Con}(\mathcal{C})$  hence  $L$  satisfies the three conditions (i)–(iii).

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