ON SPECTRAL ANALYSIS IN VARIETIES CONTAINING THE SOLUTIONS OF INHOMOGENEOUS LINEAR FUNCTIONAL EQUATIONS

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ABSTRACT. The aim of the paper is to investigate the solutions of special inhomogeneous linear functional equations by using spectral analysis in a translation invariant closed linear subspace of additive/multiadditive functions containing the restrictions of the solutions to finitely generated fields. The application of spectral analysis in some related varieties is a new and important trend in the theory of functional equations; especially they have successful applications in case of homogeneous linear functional equations. The foundations of the theory can be found in [1] and [2]. We are going to adopt the main theoretical tools to solve some inhomogeneous problems due to T. Szostok [5], see also [6] and [7]. They are motivated by quadrature rules of approximate integration.

1. Introduction and preliminaries

Let $\mathbb C$ denote the field of complex numbers. We are going to investigate functional equation

(1)
$$F(y) - F(x) = (y - x) \sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y),$$

where $x, y \in \mathbb{C}$ and $f, F : \mathbb{C} \to \mathbb{C}$ are unknown functions. Equation (1) is motivated by quadrature rules of approximate integration. The problem is due to T. Szostok [5], see also [6] and [7]. To formulate the basic preliminary results and facts we need the notion of generalized polynomials. Let (G, *) be an Abelian group; \mathbb{C}^G denotes the set of complex valued functions defined on G. A function $f : G \to \mathbb{C}$ is a generalized polynomial, if there is a non-negative integer p such that

$$\Delta_{g_1} \dots \Delta_{g_{p+1}} f = 0$$

for any $g_1, \ldots, g_{p+1} \in G$. Here Δ_g is the difference operator defined by $\Delta_g f(x) = f(g*x) - f(x)$ $(x \in G)$, where $f \in \mathbb{C}^G$ and $g \in G$. The smallest p for which (2) holds for any $g_1, \ldots, g_{p+1} \in G$ is the degree of the generalized polynomial f. A function $F: G^p \to \mathbb{C}$ is p-additive, if it is additive in each of its variables. A function $f \in \mathbb{C}^G$ is called a generalized monomial of degree p, if there is a symmetric p-additive function F such that $f(x) = F(x, \ldots, x)$ for any $x \in G$. It is known that any generalized polynomial function can be written as the sum of generalized monomials [11]. By a general result of M. Sablik [10] any solution of (1) is a generalized polynomial under

¹Keywords: Linear functional equations, spectral analysis, spectral synthesis

²MR subject classification: primary 43A45, 43A70, secondary 13F20

³G. Kiss is supported by the Internal Research Project R-STR-1041-00-Z of the University of Luxembourgh and by the Hungarian National Foundation for Scientific Research, Grant No. K104178. Cs. Vincze is supported by the University of Debrecen's internal research project RH/885/2013.

some mild conditions for the parameters in the functional equation. For the proof of the following result see also Lemma 2 in [6].

Lemma 1.1. Let $n \in \mathbb{N}$ be a given natural number. Suppose that

- (1) $\alpha_1, \ldots, \alpha_n, \beta_1, \ldots, \beta_n \in \mathbb{R}$ or \mathbb{C} ,
- (2) $\alpha_i + \beta_i \neq 0$,
- (3)

(3)
$$\begin{vmatrix} \alpha_i & \beta_i \\ \alpha_j & \beta_j \end{vmatrix} \neq 0, \ i \neq j, \ i, j \in \{1, \dots, n\}.$$

If the functions $F, f_1, \ldots, f_n : \mathbb{R} \to \mathbb{R}$ or $\mathbb{C} \to \mathbb{C}$ satisfy functional equation

(4)
$$F(y) - F(x) = (y - x) \sum_{i=1}^{n} f_i(\alpha_i x + \beta_i y), \ x, y \in \mathbb{R} \ or \ \mathbb{C},$$

then f_1, \ldots, f_n are generalized polynomial functions of degree at most 2n-1.

The first and the third conditions also appear in L. Székelyhidi's result [11]. It states a similar conclusion under the special choice F = 0, i.e. if

$$\sum_{i=1}^{n} f_i(\alpha_i x + \beta_i y) = 0$$

and the real (or complex) parameters satisfy condition (3) in Lemma 1.1, then f_i 's are generalized polynomials of degree at most n-2. Using rational homogenity we can prove the following statement too (see Lemma 3 in [6]).

Lemma 1.2. Suppose that the functions $f, F : \mathbb{R} \to \mathbb{R}$ or $\mathbb{C} \to \mathbb{C}$ satisfy equation (1). If the function f is a generalized polynomial, i.e. it is of the form

(5)
$$f(x) = \sum_{j=1}^{p} d_j(x),$$

where d_j is a monomial of degree $j \in \{1, ..., p\}$ and d_0 is constant then the function d_j also satisfies (1) with some j-additive F_j for any $j \in \{1, ..., p\}$.

In what follows we suppose that conditions of Lemma 1.1 are satisfied, i.e. any solution of (1) is a generalized polynomial of degree at most 2n - 1. In the sense of Lemma 1.2 we may also assume that f is a monomial of degree at most 2n - 1 without loss of generality. Using the notation

$$h(x) = \sum_{i=1}^{n} a_i f(\alpha_i x)$$

let us choose y = 0 in equation (1): F(0) - F(x) = -xh(x). By substituting x = 0 we have that $F(y) - F(0) = y \sum_{i=1}^{n} a_i f(\beta_i y)$. Therefore

$$h(x) = \sum_{i=1}^{n} a_i f(\alpha_i x) = \sum_{i=1}^{n} a_i f(\beta_i x) \quad \Rightarrow \quad F(y) - F(x) = y h(y) - x h(x)$$

and, consequently,

(6)
$$(y-x)\sum_{i=1}^{n} f_i(\alpha_i x + \beta_i y) = yh(y) - xh(x), \ x, y \in \mathbb{R} \text{ or } \mathbb{C}.$$

The following theorem shows that h(x) must be of a special form provided that f is a monomial of degree p.

Theorem 1.3. If the functions $f, F : \mathbb{R} \to \mathbb{R}$ or $\mathbb{C} \to \mathbb{C}$ satisfy equation (1) and f is a monomial of degree p then $h(x) = c \cdot x^p$ for some constant $c \in \mathbb{R}$ or \mathbb{C} .

Proof. The proof can be found in [6] (Theorem 1). \Box

In case of p = 1 (additive solutions) Theorem 1.3 allows us to simplify (6) as

(7)
$$\sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y) = c \cdot (x+y) \qquad (x, y \in \mathbb{C}).$$

Note that for any additive solution f the system of equations

$$c \cdot x = \sum_{i=1}^{n} a_i f(\alpha_i x)$$
 and $c \cdot y = \sum_{i=1}^{n} a_i f(\beta_i y)$

is equivalent to

$$c \cdot (x+y) = \sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y).$$

Remark 1.4. In case of a monomial solution f of higher degree p > 1

$$c \cdot x^p = \sum_{i=1}^n a_i f(\alpha_i x)$$
 and $c \cdot y^p = \sum_{i=1}^n a_i f(\beta_i y)$

because of Theorem 1.3 and the alternate substitutions x = 0 and y = 0. Equation (6) reduces to

(8)
$$\sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y) = c \cdot \sum_{l=0}^{p} x^l y^{p-l} \qquad (x, y \in \mathbb{C}).$$

In what follows we are going to show that spectral analysis can be applied in translation invariant closed linear subspaces (varieties) of additive functions on some finitely generated fields containing the restrictions of the solutions of functional equation (7). Note that the translation invariance is taken with respect to the multiplicative group structure. We will use spectral analysis in some related varieties of equation (8) too. The theory has successful applications in case of homogeneous linear functional equations. The case of c = 0 has been investigated in [1] and [2]. In this paper we use the same ideas to generalize the results for $c \neq 0$ (inhomogeneous case). The spectral analysis provides the existence of nonzero exponential functions in the varieties of the restricted solutions to finitely generated fields. It is a necessary condition for the existence of nonzero solutions of the functional equation. The sufficiency also follows in some special cases which means the complete description of the solution space. In general we also need the application of spectral synthesis in the varieties to give the description of the solution space on finitely generated fields. Since its central objects are the so-called differential operators having a more

complicated behavior relative to the automorphism solution, the application of the spectral synthesis is presented in a forthcoming paper [18] as the continuation of the recent one.

2. Varieties generated by non-trivial solutions of linear functional equations

Let G be an Abelian group. By a *variety* we mean a translation invariant closed linear subspace of \mathbb{C}^G .

2.1. Varieties of additive solutions. Consider the linear functional equation

(9)
$$\sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y) = c \cdot (x + y) \qquad (x, y \in \mathbb{C})$$

and let a finitely generated subfield $K \subset \mathbb{C}$ containing the parameters α_i, β_i (i = 1, ..., n) be fixed. If V_1 is the set of additive functions on K then it is a closed linear subspace in \mathbb{C}^K as the following lemma shows; for the proof see [2].

Lemma 2.1. V_1 is a closed linear subspace of \mathbb{C}^K .

Now we are going to determine the smallest closed linear subspace in V_1 containing the additive solutions of (7) on K under $c \neq 0$. The key step is to consider c as a free parameter running through the domain \mathbb{C} .

Definition 2.2. Let S_1 be the subset of V_1 , where $\tilde{f} \in S_1$ if and only if there exists $\tilde{c} \in \mathbb{C}$ such that

(10)
$$\sum_{i=1}^{n} a_i \tilde{f}(\alpha_i x + \beta_i y) = \tilde{c} \cdot (x+y) \qquad (x, y \in K).$$

Lemma 2.3. S_1 is a closed linear subspace of V_1 .

Proof. It is clear that S_1 is a linear subspace in V_1 . Let $\tilde{g} \colon K \to \mathbb{C}$ be a function in the closure of S_1 . In the sense of Lemma 2.1, the function \tilde{g} is additive. We are going to show that

$$\sum_{i=1}^{n} a_i \tilde{g}(\alpha_i x) = \tilde{c} \cdot x \text{ and } \sum_{i=1}^{n} a_i \tilde{g}(\beta_i y) = \tilde{c} \cdot y$$

for some $\tilde{c} \in \mathbb{C}$. Since \tilde{g} is in the closure of S_1 , for any $\varepsilon > 0$ there exists $\tilde{f}_{\varepsilon} \in S_1$ such that

$$|\tilde{f}_{\varepsilon}(\alpha_i x) - \tilde{g}(\alpha_i x)| < \varepsilon$$
 and $|\tilde{f}_{\varepsilon}(\beta_i y) - \tilde{g}(\beta_i y)| < \varepsilon$

for any element x, y of fixed finite subsets $X, Y \subset K$ and $\alpha_i, \beta_i \in K$. On the other hand

(11)
$$\sum_{i=1}^{n} a_i \tilde{f}_{\varepsilon}(\alpha_i x) = \tilde{c}_{\varepsilon} \cdot x \text{ and } \sum_{i=1}^{n} a_i \tilde{f}_{\varepsilon}(\beta_i y) = \tilde{c}_{\varepsilon} \cdot y$$

for some $\tilde{c}_{\varepsilon} \in \mathbb{C}$, respectively. First we show that there exists a finite limit $\tilde{c} := \lim_{\varepsilon \to 0} \tilde{c}_{\varepsilon}$. If x = 1 then the Δ -inequality says that

$$|\tilde{c}_{\varepsilon_{1}} - \tilde{c}_{\varepsilon_{2}}| = |\sum_{i=1}^{n} a_{i} \tilde{f}_{\varepsilon_{1}}(\alpha_{i}) - \sum_{i=1}^{n} a_{i} \tilde{f}_{\varepsilon_{2}}(\alpha_{i})| \leq$$

$$|\sum_{i=1}^{n} a_{i} \tilde{f}_{\varepsilon_{1}}(\alpha_{i}) - \sum_{i=1}^{n} a_{i} \tilde{g}(\alpha_{i})| + |\sum_{i=1}^{n} a_{i} \tilde{f}_{\varepsilon_{2}}(\alpha_{i}) - \sum_{i=1}^{n} a_{i} \tilde{g}(\alpha_{i})| \leq$$

$$\sum_{i=1}^{n} |a_{i}| \cdot |\tilde{f}_{\varepsilon_{1}}(\alpha_{i}) - \tilde{g}(\alpha_{i})| + \sum_{i=1}^{n} |a_{i}| \cdot |\tilde{f}_{\varepsilon_{2}}(\alpha_{i}) - \tilde{g}(\alpha_{i})| \leq \lambda \cdot (\varepsilon_{1} + \varepsilon_{2}),$$

where $\lambda = \sum_{i=1}^{n} |a_i|$. By Cauchy criterion, this implies that there exists $\lim_{\varepsilon \to 0} \tilde{c}_{\varepsilon} = \tilde{c} < \infty$. On the other hand

$$|\sum_{i=1}^{n} a_{i}\tilde{g}(\alpha_{i}x) - \tilde{c} \cdot x| \leq$$

$$|\sum_{i=1}^{n} a_{i}\tilde{g}(\alpha_{i}x) - \sum_{i=1}^{n} a_{i}\tilde{f}_{\varepsilon}(\alpha_{i}x)| + |\sum_{i=1}^{n} a_{i}\tilde{f}_{\varepsilon}(\alpha_{i}x) - \tilde{c} \cdot x| \leq$$

$$|\sum_{i=1}^{n} a_{i}\tilde{g}(\alpha_{i}x) - \sum_{i=1}^{n} a_{i}\tilde{f}_{\varepsilon}(\alpha_{i}x)| + |\sum_{i=1}^{n} a_{i}\tilde{f}_{\varepsilon}(\alpha_{i}x) - \tilde{c}_{\varepsilon} \cdot x| +$$

$$|\tilde{c}_{\varepsilon} \cdot x - \tilde{c} \cdot x| \leq \lambda \cdot \varepsilon + |\tilde{c}_{\varepsilon} - \tilde{c}| \cdot |x|$$

and, in a similar way,

(14)
$$\left| \sum_{i=1}^{n} a_{i} \tilde{g}(\beta_{i} y) - \tilde{c} \cdot y \right| \leq \lambda \cdot \varepsilon + \left| \tilde{c}_{\varepsilon} - \tilde{c} \right| \cdot |y|.$$

Taking the limit $\varepsilon \to 0$ we have

$$\sum_{i=1}^{n} a_i \tilde{g}(\alpha_i x) = \tilde{c} \cdot x \text{ and } \sum_{i=1}^{n} a_i \tilde{g}(\beta_i y) = \tilde{c} \cdot y$$

for any element x, y of finite subsets $X, Y \subset K$ and $\alpha_i, \beta_i \in K$. Therefore they hold for any $x, y \in K$. Since by Lemma 2.1 the function \tilde{g} is additive it follows that $\tilde{g} \in S_1$.

Remark 2.4. The analogue results for c = 0 can be found in [2].

Let $K^* = \{x \in K : x \neq 0\}$ be the Abelian group with respect to the multiplication in K. We also put $V_1^* = \{f|_{K^*} : f \in V_1\}$ and

$$S_1^* = {\tilde{f}|_{K^*} : \tilde{f} \in S_1}.$$

Lemma 2.5. V_1^* and S_1^* are varieties in \mathbb{C}^{K^*} .

Proof. It is easy to see that V_1^* is a variety. Since S_1 is a closed linear subspace of V_1 we have that S_1^* is a closed linear subspace of V_1^* .

Recall that the translation invariance is taken with respect to the multiplicative group structure, i.e. if $\tilde{f} \in S_1^*$, then the map $\tau_a \tilde{f} : x \in K^* \mapsto \tilde{f}(ax)$ also belongs to S_1^* for every $a \in K^*$.

Definition 2.6. S_1^0 is the subspace of S_1 belonging to the homogeneous case $\tilde{c} = 0$.

2.2. Varieties generated by higher order monomial solutions. Consider the linear functional equation

(15)
$$\sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y) = c_p \cdot \sum_{l=0}^{p} x^l y^{p-l} \qquad (x, y \in \mathbb{C})$$

and let a finitely generated subfield $K \subset \mathbb{C}$ containing the parameters α_i, β_i (i = 1, ..., n) be fixed. If V_p is the set of p-additive functions on K then it is a closed linear subspace in \mathbb{C}^G , where $G = K \times ... \times K$ is the Cartesian product (p-times) of K with itself; for the proof see [2].

Lemma 2.7. V_p is a closed linear subspace of \mathbb{C}^G .

Suppose that

$$f(x) := F_p(x, \dots, x)$$

is a monomial solution of degree p > 1 of equation

(16)
$$\sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y) = c_p \cdot \sum_{l=0}^{p} x^l y^{p-l} \qquad (x, y \in K),$$

where F_p is a symmetric, p-additive function; cf. equation (8). By the "binomial theorem" equation (16) implies that

(17)
$$\sum_{i=1}^{n} a_{i} \sum_{l=0}^{p} {p \choose l} F_{p,l}(\alpha_{i}x, \beta_{i}y) = c_{p} \cdot \sum_{l=0}^{p} x^{l} y^{p-l},$$

where the script l shows the number of appearences of the term $\alpha_i x$ among the arguments of F_p :

$$F_{p,l}(\alpha_i x, \beta_i y) := F_k(\underbrace{\alpha_i x, \dots, \alpha_i x}_{l \text{ times}}, \beta_i y, \dots, \beta_i y).$$

Since additivity implies rational homogenity, rational substitutions allow us to compare the sides of equation (17) member by member as classical polynomials:

(18)
$$\sum_{i=1}^{n} a_i F_{p,p}(\alpha_i x, \beta_i y) = \sum_{i=1}^{n} a_i F_p(\alpha_i x, \dots, \alpha_i x) = c_p \cdot x^p,$$

$$\sum_{i=1}^{n} a_i F_{p,0}(\alpha_i x, \beta_i y) = \sum_{i=1}^{n} a_i F_p(\beta_i y, \dots, \beta_i y) = c_p \cdot y^p,$$

$$\sum_{i=1}^{n} a_i \binom{p}{l} F_{p,l}(\alpha_i x, \beta_i y) = c_p \cdot x^l y^{p-l} \quad (l = 1, \dots, p-1).$$

It is well-known that the diagonals determine the symmetric multiadditive functions. Therefore we can write conditions (18) into the multivariable form

$$\sum_{i=1}^{n} a_{i} F_{p}(\alpha_{i} x_{1}, \dots, \alpha_{i} x_{p}) = c_{p} \cdot x_{1} \cdot \dots \cdot x_{p},$$

$$\sum_{i=1}^{n} a_{i} F_{p}(\beta_{i} y_{1}, \dots, \beta_{i} y_{p}) = c_{p} \cdot y_{1} \cdot \dots \cdot y_{p},$$

$$\sum_{i=1}^{n} a_{i} {p \choose l} F_{p}(\alpha_{i} x_{1}, \dots, \alpha_{i} x_{l}, \beta_{i} y_{1}, \dots, \beta_{i} y_{p-l}) = c_{p} \cdot x_{1} \cdot \dots \cdot x_{l} \cdot y_{1} \cdot \dots \cdot y_{p-l},$$

where l = 1, ..., p - 1; for the similar trick see [3]. The multivariable form of the conditions will have an important role to clarify the consequences of (18) for the properties of the translated mapping

$$\tau_{\vec{z}}F_p(w_1,\ldots,w_p):=F_p(z_1w_1,\ldots,z_pw_p).$$

Note that the symmetry condition is taking to fail by the translation invariance with respect to the multiplicative group structure because it is not a symmetric mapping in general.

Definition 2.8. For any p-additive function F_p let us define F_p^{σ} as

$$F_p^{\sigma}(w_1,\ldots,w_p):=F_p(w_{\sigma(1)},\ldots,w_{\sigma(p)}),$$

where σ is a permutation of the elements $1, \ldots, p$.

Lemma 2.9. Suppose that $f(x) := F_p(x, ..., x)$ is a monomial solution of degree p > 1 of equation (16), where F_p is a symmetric, p-additive function. For any permutation σ of the elements 1, ..., p the mapping $(\tau_{\vec{z}} F_p)^{\sigma}$ satisfies condition (19) with $\tilde{c}_p = c_p \cdot z_1 \cdot ... \cdot z_p$ and the diagonalization

$$\tilde{f}_p(x) := \tau_{\vec{z}} F_p(x, \dots, x)$$

is a solution of functional equation

(20)
$$\sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y) = \tilde{c}_p \cdot \sum_{l=0}^{p} x^l y^{p-l} \qquad (x, y \in K).$$

The proof is a straightforward calculation. Now we are in the position to define the variety generated by the higher order solutions.

Definition 2.10. Let S_p be the subset of V_p , where $\tilde{F}_p \in S_p$ if and only if there exists $\tilde{c}_p \in \mathbb{C}$ such that

$$\sum_{i=1}^{n} a_{i} \tilde{F}_{p}^{\sigma}(\alpha_{i} x_{1}, \dots, \alpha_{i} x_{p}) = \tilde{c}_{p} \cdot x_{1} \cdot \dots \cdot x_{p},$$

$$\sum_{i=1}^{n} a_{i} \tilde{F}_{p}^{\sigma}(\beta_{i} y_{1}, \dots, \beta_{i} y_{p}) = \tilde{c}_{p} \cdot y_{1} \cdot \dots \cdot y_{p},$$

$$\sum_{i=1}^{n} a_{i} {p \choose l} \tilde{F}_{p}^{\sigma}(\alpha_{i} x_{1}, \dots, \alpha_{i} x_{l}, \beta_{i} y_{1}, \dots, \beta_{i} y_{p-l}) = \tilde{c}_{p} \cdot x_{1} \cdot \dots \cdot x_{l} \cdot y_{1} \cdot \dots \cdot y_{p-l}$$

 $(l=1,\ldots,p-1)$ for any permutation σ of the elements $1,\ldots,p$.

The condition for any permutation of the variables substitutes the symmetry condition in the following sense: S_p becomes closed under the usual symmetrization process

$$\left(\operatorname{Sym} \tilde{F}_p\right)(w_1,\ldots,w_n) := \frac{1}{p!} \sum_{\sigma} \tilde{F}_p^{\sigma}(w_1,\ldots,w_p),$$

where σ runs through the permutations of $1, \ldots, p$, i.e. the diagonals of the elements in S_p are the solutions of functional equations of type (20). The problem disappears in case of p=1 because there are neither mixed blocks nor positions for variables

to set free: $\sum_{i=1}^{n} a_i \tilde{F}_1(\alpha_i x) = \tilde{c}_1 \cdot x$ and $\sum_{i=1}^{n} a_i \tilde{F}_1(\beta_i y) = \tilde{c}_1 \cdot y$. By adopting the arguments of subsection 2.1 to the multivariate setting on a finitely generated field we can prove the analogue statements of Lemma 2.3 and Lemma 2.5.

Lemma 2.11. S_p is a closed linear subspace of V_p .

The sets V_p^* and S_p^* consist of the restrictions of the elements in V_p and S_p to the multiplicative group

$$G^* := \underbrace{K^* \times \ldots \times K^*}_{p\text{-times}},$$

respectively.

Lemma 2.12. V_p^* and S_p^* are varieties in \mathbb{C}^{G^*} .

Remark 2.13. Lemma 2.9 shows that S_p^* is the variety in \mathbb{C}^{G^*} generated by the restrictions of symmetric p-additive functions to G^* provided that the diagonalizations are the solutions of functional equation (20) for some $\tilde{c}_p \in \mathbb{C}$. Note that the translation invariance is taken with respect to the multiplicative group structure of G^* .

Definition 2.14. S_p^0 is the subspace of S_p belonging to the homogeneous case $\tilde{c}_p = 0$.

2.3. Spectral analysis in the varieties on a discrete Abelian group with torsion free rank less than continuum. Let (G, *) be an Abelian group. A function $m: G \to \mathbb{C}$ is called *exponential* if it is multiplicative:

$$m(x * y) = m(x)m(y)$$

for any $x, y \in G$. If a variety contains an exponential function then we say that spectral analysis holds in this variety. If spectral analysis holds in each variety on G, then spectral analysis holds on G. Let $r_0(G)$ be the torsion free rank of G. The following theorem is the main result of [9].

Theorem 2.15. Spectral analysis holds on a discrete Abelian group G if and only if $r_0(G) < 2^{\omega}$.

3. Applications of spectral analysis I

The following proposition [8, Theorem 14.5.1, p. 358]) will be frequently used.

Proposition 3.1. Let $K \subset \mathbb{C}$ be a finitely generated field and $\phi \colon K \to \mathbb{C}$ be an injective homomorphism. Then there exists an automorphism ψ of \mathbb{C} such that $\psi|_K = \phi$.

As a consequence of Proposition 3.1 we can also show the following lemma which can be found in [1]; for the definition of K^* and S_1^* see subsection 2.1.

Lemma 3.2. If $m \in S_1^*$ is an exponential on K^* , then there is an extension of m to \mathbb{C} as an automorphism.

3.1. Additive solutions of linear functional equations. Using Theorem 2.15 spectral analysis holds in the variety of S_1^* containing the restrictions of additive solutions of equation (7) as c is running through \mathbb{C} . Therefore we can formulate the following result for the existence of a nontrivial additive solution.

Theorem 3.3. The existence of a nonzero additive solution of (7) implies that there exist a finitely generated subfield $K \subset \mathbb{C}$ containing α_i and β_i (i = 1, ..., n) and an automorphism $\phi \colon \mathbb{C} \to \mathbb{C}$ as the extension of an exponential element in S_1^* such that

(22)
$$\sum_{i=1}^{n} a_i \phi(\alpha_i x + \beta_i y) = \tilde{c} \cdot (x+y) \quad (x, y \in K)$$

for some $\tilde{c} \in \mathbb{C}$. Especially,

(23)
$$\sum_{i=1}^{n} a_i \phi(\alpha_i) = \sum_{i=1}^{n} a_i \phi(\beta_i) = \tilde{c}.$$

If $\tilde{c} = 0$ then

(24)
$$\sum_{i=1}^{n} a_i \phi(\alpha_i x + \beta_i y) = 0 \quad (x, y \in \mathbb{C}),$$

i.e. ϕ is the solution of the homogeneous equation on \mathbb{C} . If $\tilde{c} \neq 0$ then $\phi(x) = x$ $(x \in K)$ and

(25)
$$\sum_{i=1}^{n} a_i \alpha_i = \sum_{i=1}^{n} a_i \beta_i = \tilde{c} \neq 0.$$

Conversely, if (25) holds then $f := (c/\tilde{c}) \cdot x$ is a nonzero particular additive solution of (7) on \mathbb{C} .

Proof. Suppose that f is a nonzero additive solution of (7), i.e. $f(e) \neq 0$ for some $e \in \mathbb{C}$. Let $K = \mathbb{Q}(\alpha_1, \ldots, \alpha_n, \beta_1, \ldots, \beta_n, e)$ be the extension of \mathbb{Q} by the complex numbers α_i, β_i and e $(i = 1, \ldots, n)$. In the sense of Lemma 2.5, S_1^* is a variety in \mathbb{C}^{K^*} . We have that $S_1^* \neq \{0\}$ because $f|_{K^*} \in S_1^*$ and $f(e) \neq 0$. Since K^* is countable we find, by Theorem 2.15, that S_1^* contains an exponential element ϕ , i.e.

$$\sum_{i=1}^{n} a_i \phi(\alpha_i x + \beta_i y) = \tilde{c} \cdot (x+y) \quad (x, y \in K)$$

for some $\tilde{c} \in \mathbb{C}$. By Lemma 3.2, ϕ can be extended to an automorphism of \mathbb{C} . Especially

(26)
$$\tilde{c} \cdot x = \sum_{i=1}^{n} a_i \phi(\alpha_i x) = \sum_{i=1}^{n} a_i \phi(\alpha_i) \phi(x)$$

and

(27)
$$\tilde{c} \cdot y = \sum_{i=1}^{n} a_i \phi(\beta_i y) = \sum_{i=1}^{n} a_i \phi(\beta_i) \phi(y)$$

for any $x, y \in K$. Choosing x = y = 1:

(28)
$$\sum_{i=1}^{n} a_i \phi(\alpha_i) = \sum_{i=1}^{n} a_i \phi(\beta_i) = \tilde{c}.$$

If $\tilde{c} = 0$ then equations (26), (27) and (28) give that $\phi \colon \mathbb{C} \to \mathbb{C}$ is a solution of the homogeneous equation

$$\sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y) = 0 \quad (x, y \in \mathbb{C}).$$

If $\tilde{c} \neq 0$ then equations (26) and (28) give that $\phi(x) = x \ (x \in K)$. The converse statement is clear.

Corollary 3.4. If $\tilde{c} \neq 0$ for an exponential function in S_1^* then the space of the additive solutions on K is

$$\frac{c}{\tilde{c}} \cdot x + S_1^0.$$

Corollary 3.5. If there are no automorphisms satisfying

$$\sum_{i=1}^{n} a_i \phi(\alpha_i) = \sum_{i=1}^{n} a_i \phi(\beta_i) = 0$$

then S_1^0 is trivial for any finitely generated field $K \subset \mathbb{C}$ containing the parameters α_i and β_i (i = 1, ..., n) and the only nonzero additive solution of (7) on \mathbb{C} must be the proportional of the identity function: $f(x) = c' \cdot x$ where $c' = c/\tilde{c}$ provided that

$$\sum_{i=1}^{n} a_i \alpha_i = \sum_{i=1}^{n} a_i \beta_i = \tilde{c} \neq 0.$$

Remark 3.6. If $\tilde{c} = 0$ for any exponential function in S_1^* then the exponentials give only translation parts in the solution of the inhomogeneous equation on K and we need to apply spectral synthesis in the variety S_1^* to decide the existence of a nonzero particular solution of the inhomogeneous equation on finitely generated fields containing the parameters α_i and β_i (i = 1, ..., n); see [18].

Remark 3.7. The size of the subspace S_1^0 depends on the existence of an automorphism $\phi \colon \mathbb{C} \to \mathbb{C}$ satisfying

$$\sum_{i=1}^{n} a_i \phi(\alpha_i) = 0 \text{ and } \sum_{i=1}^{n} a_i \phi(\beta_i) = 0.$$

The so-called characteristic polynomial method helps us to investigate such an existence problem in terms of polynomials whose coefficients depend algebraically on the parameters α_i and β_i (i = 1, ..., n); [15], see also [1], [13], [16] and [17].

Example 3.8. It is not too hard to find examples such that S_1^0 is trivial, see e.g. section 3 in [15] and section 5 for some explicite examples. In what follows we present only the extremal cases of the characteristic polynomial method: first of all

reduce equation
$$\sum_{i=1}^{n} a_i \phi(\alpha_i) = 0$$
 to

(29)
$$1 + \sum_{i=1}^{n-1} a'_i \phi(\alpha'_i) = 0, \text{ where } a'_i = a_i / a_n \text{ and } \alpha'_i = \alpha_i / \alpha_n,$$

$$i = 1, \dots, n - 1.$$

• if the parameters $\alpha'_1, \ldots, \alpha'_{n-1}$ form an algebraically independent system over the rationals then the characteristic polynomial is

$$\mathcal{P}_1(x_1,\ldots,x_{n-1}) = 1 + \sum_{i=1}^{n-1} a_i' x_i.$$

The sufficient and necessary condition for the existence of ϕ satisfying (29) is that \mathcal{P}_1 has a root with algebraically independent coordinates over the rationals. It happens if and only if one of the parameters a'_1, \ldots, a'_{n-1} is transcendent; [13], see also [16] and [17].

• If all the parameters $\alpha'_1, \ldots, \alpha'_{n-1}$ are algebraic over \mathbb{Q} then the extension of the rationals with $\alpha'_1, \ldots, \alpha'_{n-1}$ is a simple algebraic extension by an algebraic element u of finite degree. The elements of the extended field can be written as

$$\alpha_i' = p_i(u)$$
, where $p_i \in \mathbb{Q}[x]$,

 $i=1,\ldots,n-1$. This means that the action of ϕ is uniquely determined by $\phi(u)$. Consider the finite product

$$\mathcal{F}_1(a'_1,\ldots,a'_{n-1}) = \prod_h \left(1 + a'_1 p_1(s_h) + \ldots + a'_{n-1} p_{n-1}(s_h)\right),\,$$

where s_h runs through the finitely many algebraic conjugates of the element u.

Using the fundamental theorem of the symmetric polynomials it can be proved that the right hand side is a rational polynomial of the variables a'_1, \ldots, a'_{n-1} : the coefficients are the polynomials of $\sum s_h, \sum s_h \cdot s_k$ and so on. Its vanishing is the sufficient and necessary condition for the existence of ϕ satisfying (29). The inverse automorphism allows us to change the role of the parameters to unify the polynomial for the family of the parameters α_i 's and β_i 's:

(30)
$$\sum_{i=1}^{n} \phi^{-1}(a_i)\alpha_i = 0 \text{ and } \sum_{i=1}^{n} \phi^{-1}(a_i)\beta_i = 0.$$

4. Applications of spectral analysis II

In what follows we generalize the previous theorem for the nonzero monomial solutions of higher degree p > 1 of equation (8)

4.1. The case of higher order monomial solutions. Suppose that

$$f(x) := F_p(x, \dots, x)$$

is a nonzero monomial solution of degree p>1 of equation (8), where F_p is a symmetric, p-additive function, i.e. $f(e)\neq 0$ for some $e\in \mathbb{C}$. Let $K=\mathbb{Q}(\alpha_1,\ldots,\alpha_n,\beta_1,\ldots,\beta_n,e)$ be the extension of \mathbb{Q} by the complex numbers α_i,β_i and e $(i=1,\ldots,n)$. In the sense of Lemma 2.12, S_p^* is a variety in \mathbb{C}^{G^*} , where

$$G^* := \underbrace{K^* \times \ldots \times K^*}_{\text{p-times}}.$$

We have that $S_p^* \neq \{0\}$ because $F_p|_{G^*} \in S_p^*$ and $F_p(e, \ldots, e) = f(e) \neq 0$. Since G^* is countable we find, by Theorem 2.15, that S_p^* contains an exponential element ϕ :

$$\phi(x_1y_1,\ldots,x_py_p)=\phi(x_1,\ldots,x_p)\cdot\phi(y_1,\ldots,y_p).$$

Using the decomposition formula

(31)
$$\phi(x_1,\ldots,x_p) = \phi(x_1,1,\ldots,1) \cdot \phi(1,x_2,1,\ldots,1) \cdot \ldots \cdot \phi(1,\ldots,1,x_p)$$

the exponential element can be written as the product

$$\phi = \phi_1 \cdot \ldots \cdot \phi_n$$

where $\phi_j \colon K^* \to \mathbb{C}$ (j = 1, ..., p) are exponentials in \mathbb{C}^{K^*} . By Lemma 3.2, each of them can be extended to an automorphism of \mathbb{C} . According to the definition of S_p^* (subsection 2.2) the diagonalization

$$\operatorname{diag} \phi(x) := \phi_1(x) \cdot \ldots \cdot \phi_n(x)$$

is the solution of

(32)
$$\sum_{i=1}^{n} a_i \tilde{f}(\alpha_i x + \beta_i y) = \tilde{c}_p \cdot \sum_{l=0}^{p} x^l y^{p-l} \quad (x, y \in K)$$

for some $\tilde{c}_p \in \mathbb{C}$. Especially

(33)
$$\tilde{c}_p \cdot x^p = \sum_{i=1}^n a_i \phi(\alpha_i x, \dots, \alpha_i x) = \sum_{i=1}^n a_i \phi(\alpha_i, \dots, \alpha_i) \phi(x, \dots, x)$$

and

(34)
$$\tilde{c}_p \cdot y^p = \sum_{i=1}^n a_i \phi(\beta_i y, \dots, \beta_i y) = \sum_{i=1}^n a_i \phi(\beta_i, \dots, \beta_i) \phi(y, \dots, y).$$

Choosing x = y = 1

(35)
$$\sum_{i=1}^{n} a_i \phi(\alpha_i, \dots, \alpha_i) = \sum_{i=1}^{n} a_i \phi(\beta_i, \dots, \beta_i) = \tilde{c}_p.$$

On the other hand

(36)
$$\tilde{c}_{p} \cdot x^{l} y^{p-l} = \sum_{i=1}^{n} a_{i} \binom{p}{l} \phi(\underbrace{\alpha_{i} x, \dots, \alpha_{i} x}_{l \text{ times}}, \beta_{i} y, \dots, \beta_{i} y) = \sum_{i=1}^{n} a_{i} \binom{p}{l} \phi(\underbrace{\alpha_{i}, \dots, \alpha_{i}}_{l \text{ times}}, \beta_{i}, \dots, \beta_{i}) \phi(\underbrace{x, \dots, x}_{l \text{ times}}, y, \dots, y).$$

Choosing x = y = 1

(37)
$$\sum_{i=1}^{n} a_i \binom{p}{l} \phi(\underbrace{\alpha_i, \dots, \alpha_i}_{l \text{ times}}, \beta_i, \dots, \beta_i) = \tilde{c}_p$$

and equation (37) holds for any permutation σ of the indices, i.e.

(38)
$$\sum_{i=1}^{n} a_i \binom{p}{l} \phi_{\sigma(1)}(\alpha_i) \cdot \ldots \cdot \phi_{\sigma(l)}(\alpha_i) \cdot \phi_{\sigma(l+1)}(\beta_i) \cdot \ldots \cdot \phi_{\sigma(p)}(\beta_i) = \tilde{c}_p.$$

If $\tilde{c}_p = 0$ then equations (33)-(38) give that diag ϕ is the solution of the homogeneous equation

$$\sum_{i=1}^{n} a_i f(\alpha_i x + \beta_i y) = 0 \quad (x, y \in \mathbb{C}).$$

If $\tilde{c}_p \neq 0$ then equations (36) and (37) imply that

$$x^{l}y^{p-l} = \phi(\underbrace{x, \dots, x}_{l \text{ times}}, y, \dots, y).$$

Choosing l=1 and $y_2=\ldots y_p=1$ we can conclude that $\phi_1(x)=x$ and so on: $\phi_1(x)=\ldots=\phi_p(x)=x$ $(x\in K)$. Theorem 3.3 has the following analogue.

Theorem 4.1. The existence of a nonzero monomial solution of degree p > 1 of (8) implies that there exist a finitely generated subfield $K \subset \mathbb{C}$ containing α_i and β_i (i = 1, ..., n) and some automorphisms $\phi_i \colon \mathbb{C} \to \mathbb{C}$ (i = 1, ..., p) as the extensions of the functions in the decomposition formula (31) of an exponential function ϕ in S_p^* such that

(39)
$$\sum_{i=1}^{n} a_i \operatorname{diag} \phi(\alpha_i x + \beta_i y) = \tilde{c}_p \cdot \sum_{l=0}^{p} x^l y^{p-l} \qquad (x, y \in K),$$

for some $\tilde{c} \in \mathbb{C}$. Especially

(40)
$$\sum_{i=1}^{n} a_{i}\phi_{1}(\alpha_{i}) \cdot \ldots \cdot \phi_{p}(\alpha_{i}) = \sum_{i=1}^{n} a_{i}\phi_{1}(\beta_{i}) \cdot \ldots \cdot \phi_{p}(\beta_{i}) = \sum_{i=1}^{n} a_{i} \binom{p}{l} \phi_{\sigma(1)}(\alpha_{i}) \cdot \ldots \cdot \phi_{\sigma(l)}(\alpha_{i}) \cdot \phi_{\sigma(l+1)}(\beta_{i}) \cdot \ldots \cdot \phi_{\sigma(p)}(\beta_{i}) = \tilde{c}_{p},$$

where $l=1,\ldots,p-1$ and σ is an arbitrary permutation of the indices. If $\tilde{c}_p=0$ then

(41)
$$\sum_{i=1}^{n} a_{i} \operatorname{diag} \phi(\alpha_{i} x + \beta_{i} y) = 0 \qquad (x, y \in \mathbb{C}),$$

i.e. diag ϕ is the solution of the homogeneous equation on \mathbb{C} . If $\tilde{c}_p \neq 0$ then $\phi_1(x) = \ldots = \phi_p(x) = x \ (x \in K)$ and

(42)
$$\sum_{i=1}^{n} a_i \alpha_i^p = \sum_{i=1}^{n} a_i \beta_i^p = \sum_{i=1}^{n} a_i \binom{p}{l} \alpha_i^l \beta_i^{p-l} = \tilde{c}_p \neq 0 \quad (l = 1, \dots, p-1).$$

Conversely, if (42) holds then $f(x) := (c/\tilde{c}_p) \cdot x^p$ is a nonzero particular monomial solution of degree p of (8) on \mathbb{C} .

Corollary 4.2. If $\tilde{c}_p \neq 0$ for an exponential function in S_p^* then the space of the monomial solutions of degree p on K is

$$\frac{c}{\tilde{c}_p} \cdot x^p + diag S_p^0,$$

where diag means the diagonalizations of the elements of the set.

Corollary 4.3. If there are no automorphisms satisfying

(43)
$$\sum_{i=1}^{n} a_i \phi_1(\alpha_i) \cdot \dots \cdot \phi_p(\alpha_i) = \sum_{i=1}^{n} a_i \phi_1(\beta_i) \cdot \dots \cdot \phi_p(\beta_i) = \sum_{i=1}^{n} a_i \binom{p}{l} \phi_{\sigma(1)}(\alpha_i) \cdot \dots \cdot \phi_{\sigma(l)}(\alpha_i) \cdot \phi_{\sigma(l+1)}(\beta_i) \cdot \dots \cdot \phi_{\sigma(p)}(\beta_i) = 0,$$

then S_p^0 is trivial for any finitely generated field $K \subset \mathbb{C}$ containing the parameters α_i and β_i (i = 1, ..., n) and the only nonzero monomial solution of degree p of (8) on \mathbb{C} must be the proportional of the pth power function:

$$f(x) = c' \cdot x^p$$
, where $c' = c/\tilde{c}_p$

provided that

$$\sum_{i=1}^{n} a_i \alpha_i^p = \sum_{i=1}^{n} a_i \beta_i^p = \sum_{i=1}^{n} a_i \binom{p}{l} \alpha_i^l \beta_i^{p-l} = \tilde{c}_p \neq 0 \quad (l = 1, \dots, p-1).$$

Remark 4.4. If $\tilde{c}_p = 0$ for any exponential function in S_p^* then the diagonalizations of the exponentials give only translation parts in the solution of the inhomogeneous equation on K and we need to apply spectral synthesis in the variety S_p^* to decide the existence of a nonzero particular monomial solution of degree p of the inhomogeneous equation on finitely generated fields containing the parameters α_i and β_i $(i=1,\ldots,n)$; see [18].

Remark 4.5. The size of the subspace S_p^0 depends on the existence of automorphisms $\phi_1, \ldots, \phi_p \colon \mathbb{C} \to \mathbb{C}$ satisfying

(44)
$$\sum_{i=1}^{n} a_{i}\phi_{1}(\alpha_{i}) \cdot \ldots \cdot \phi_{p}(\alpha_{i}) = \sum_{i=1}^{n} a_{i}\phi_{1}(\beta_{i}) \cdot \ldots \cdot \phi_{p}(\beta_{i}) = \sum_{i=1}^{n} a_{i} \binom{p}{l} \phi_{\sigma(1)}(\alpha_{i}) \cdot \ldots \cdot \phi_{\sigma(l)}(\alpha_{i}) \cdot \phi_{\sigma(l+1)}(\beta_{i}) \cdot \ldots \cdot \phi_{\sigma(p)}(\beta_{i}) = 0,$$

where l = 1, ..., p-1 and σ is an arbitrary permutation of the indices. The so-called characteristic polynomial method helps us to investigate such an existence problem in terms of polynomials whose coefficients depend algebraically on the parameters α_i and β_i (i = 1, ..., n); [15], see also [1], [13], [16] and [17].

Example 4.6. It is not too hard to find examples such that S_p^0 is trivial, see e.g. a necessary and sufficient condition for $S_2^0 \neq \{0\}$ in [3] provided that $\beta_i = 1 - \alpha_i$ and $i = 1, \ldots, 4$; see also [14]. The special choice $\beta_i = 1 - \alpha_i$ $(i = 1, \ldots, n)$ allows us to conclude a descending tendency in the space of the solutions of the homogeneous equation in general: the existence of a nonzero monomial solution of degree p > 1 implies the existence of nonzero monomial solutions of degree $p - 1, \ldots, 1$ too; see [1] and [3]. Therefore $S_1^0 = \{0\}$ implies that $S_p^0 = \{0\}$, cf. Example 3.8 and section 5.

Example 4.7. Theorem 4.1 motivates the problem of the existence of a monomial solution of the form ϕ^p , where ϕ is an automorphism of \mathbb{C} . It is solved in Proposition 3.9 of [1] by constructing a counterexample: there is a linear functional equation with a solution of degree two but there is no any solution of the form ϕ^2 , where ϕ is an automorphism of \mathbb{C} .

5. Examples

The common feature of the following examples is that for any $p = 1, \ldots, 2n-1$ the space S_p^0 belonging to the restrictions of the solutions of the homogeneous equation is trivial in case of any finitely generated field $K \subset \mathbb{C}$ containing the parameters α_i and β_i $(i = 1, \ldots, n)$. This means that each of them contains only the identically zero function and the inhomogeneous equation can be solved by spectral analysis; cf. Corollary 3.5 and Corollary 4.3. Therefore we present all solutions of the following functional equations defined on \mathbb{R} or \mathbb{C} . The examples show how our method is working in explicite cases: the selection is based on our motivating papers [5], [6] and [7]. In what follows we suppose that conditions of Lemma 1.1 are satisfied.

5.1. The first example. In their paper [5] the authors consider functional equation

(45)
$$F(y) - F(x) = (y - x) \left(f(\alpha x + \beta y) + f(\beta x + \alpha y) \right),$$

i.e. $n=2,\ a_1=a_2=1,\ \alpha_1=\alpha,\ \alpha_2=\beta,\ \beta_1=\beta,\ \beta_2=\alpha.$ Using Lemma 1.1, Lemma 1.2 and Theorem 1.3 the solutions are generalized polynomials of degree at most 3. Therefore we should solve the following functional equations:

(46)
$$f(\alpha x + \beta y) + f(\beta x + \alpha y) = c_0,$$

$$(47) f(\alpha x + \beta y) + f(\beta x + \alpha y) = c_1 \cdot (x + y),$$

(48)
$$f(\alpha x + \beta y) + f(\beta x + \alpha y) = c_2 \cdot (x^2 + x \cdot y + y^2),$$

(49)
$$f(\alpha x + \beta y) + f(\beta x + \alpha y) = c_3 \cdot (x^3 + x^2 \cdot y + x \cdot y^2 + y^3)$$

for some constants belonging to the possible values of p = 0, 1, 2, 3. Equation (46) is trivial; the solutions are the constant functions (monomial terms of degree zero).

Lemma 5.1. For any p=1,2,3 we have that S_p^0 belonging to the solutions in the homogeneous case $\tilde{c}_p=0$ is trivial for any finitely generated subfield $K\subset\mathbb{C}$ containing the parameters α_i and β_i (i=1,2).

Proof. In case of p=1 the non-zero element in S_1^0 implies the existence of an automorphism $\phi \colon \mathbb{C} \to \mathbb{C}$ such that

$$\sum_{i=1}^{2} a_i \phi(\alpha_i) = \sum_{i=1}^{2} a_i \phi(\beta_i) = 0 \quad \Rightarrow \quad \phi(\alpha) + \phi(\beta) = 0,$$

i.e. $\alpha = -\beta$ which contradicts to condition (3) in Lemma 1.1. In case of p = 2 the non-zero element in S_2^0 implies the existence of automorphisms $\phi_1, \ \phi_2 \colon \mathbb{C} \to \mathbb{C}$ such that

$$\sum_{i=1}^{2} a_i \phi_1(\alpha_i) \phi_2(\alpha_i) = \sum_{i=1}^{2} a_i \phi_1(\beta_i) \phi_2(\beta_i) = \sum_{i=1}^{2} a_i \binom{2}{1} \phi_{\sigma(1)}(\alpha_i) \phi_{\sigma(2)}(\beta_i) = 0$$

for any permutation σ of the indices; see Theorem 4.1. Especially this system of equations reduces to

$$\phi_1(\alpha)\phi_2(\alpha) + \phi_1(\beta)\phi_2(\beta) = 2\phi_1(\alpha)\phi_2(\beta) + 2\phi_1(\beta)\phi_2(\alpha) = 0$$

because of the symmetric roles of α and β . Introducing the notations

$$\omega_i = \phi_i \left(\frac{\alpha}{\beta}\right), \text{ where } i = 1, 2$$

it follows that

(50)
$$\omega_1 \cdot \omega_2 + 1 = 2(\omega_1 + \omega_2) = 0$$

and, consequently $\omega_1^2 = 1$, i.e. $\alpha = \pm \beta$ which contradicts to condition (3) in Lemma 1.1. In case of p = 3 the non-zero element in S_3^0 implies the existence of automorphisms $\phi_1, \phi_2, \phi_3 \colon \mathbb{C} \to \mathbb{C}$ such that

$$\sum_{i=1}^{2} a_{i}\phi_{1}(\alpha_{i})\phi_{2}(\alpha_{i})\phi_{3}(\alpha_{i}) = \sum_{i=1}^{2} a_{i}\phi_{1}(\beta_{i})\phi_{2}(\beta_{i})\phi_{3}(\beta_{i}) =$$

$$\sum_{i=1}^{2} a_{i}\binom{3}{1}\phi_{\sigma(1)}(\alpha_{i})\phi_{\sigma(2)}(\beta_{i})\phi_{\sigma(3)}(\beta_{i}) =$$

$$\sum_{i=1}^{2} a_{i}\binom{3}{2}\phi_{\sigma(1)}(\alpha_{i})\phi_{\sigma(2)}(\alpha_{i})\phi_{\sigma(3)}(\beta_{i}) = 0$$

for any permutation σ of the indices. Especially this system of equations reduces to

(51)
$$\omega_1 \cdot \omega_2 \cdot \omega_3 + 1 = 3(\omega_1 + \omega_2 \cdot \omega_3) = 3(\omega_3 + \omega_1 \cdot \omega_2) = 3(\omega_2 + \omega_1 \cdot \omega_3) = 0$$

because of the symmetric roles of α and β , where

$$\omega_i = \phi_i \left(\frac{\alpha}{\beta}\right) \text{ and } i = 1, 2, 3.$$

Therefore $\omega_1^2 = 1$, i.e. $\alpha = \pm \beta$ which contradicts to condition (3) in Lemma 1.1. \square

In the sense of the previous lemma each monomial term in the solution of equation (45) must be the proportional of x^p (p = 1, 2, 3) provided that the parameters satisfy conditions of Theorem 3.3 and Theorem 4.1 under the choice $\phi(x) = x$, $\phi_1(x) = \phi_2(x) = x$ and $\phi_1(x) = \phi_2(x) = \phi_3(x) = x$, respectively:

(i) there is an additive (monomial term of degree 1) term in the solution if and only if

$$\sum_{i=1}^{2} a_i \alpha_i = \sum_{i=1}^{2} a_i \beta_i = \tilde{c}_1 \neq 0 \quad \Rightarrow \quad \alpha + \beta = \tilde{c}_1 \neq 0,$$

It is obviously true because of condition (3) in Lemma 1.1.

(ii) There is a monomial term of degree 2 in the solution if and only if

$$\sum_{i=1}^{2} a_i \alpha_i^2 = \sum_{i=1}^{2} a_i \beta_i^2 = \sum_{i=1}^{2} a_i {2 \choose 1} \alpha_i \beta_i = \tilde{c}_2 \neq 0 \quad \Rightarrow$$

$$\alpha^2 + \beta^2 = 2(\alpha \cdot \beta + \beta \cdot \alpha) = \tilde{c}_2 \neq 0,$$

i.e. $\alpha^2 + \beta^2 = 4\alpha\beta \neq 0$.

(iii) There is a monomial term of degree 3 in the solution if and only if

$$\sum_{i=1}^{2} a_i \alpha_i^3 = \sum_{i=1}^{2} a_i \beta_i^3 = \sum_{i=1}^{2} a_i \binom{3}{1} \alpha_i \beta_i^2 = \sum_{i=1}^{2} a_i \binom{3}{2} \alpha_i^2 \beta_i = \tilde{c}_3 \neq 0 \quad \Rightarrow$$

$$\alpha^3 + \beta^3 = 3 \left(\alpha^2 \cdot \beta + \beta^2 \cdot \alpha \right) = \tilde{c}_3 \neq 0,$$
i.e. $\alpha^2 - \alpha\beta + \beta^2 = 3\alpha\beta \neq 0 \quad \Rightarrow \quad \alpha^2 + \beta^2 = 4\alpha\beta \neq 0.$

Therefore the spectral analysis presents the results of Theorem 2 (v) and (vi) in [5]. Note that condition $\alpha^2 + \beta^2 = 4\alpha\beta$ implies the fraction α/β to be $2 \pm \sqrt{3}$. The discussion of the exceptional cases $\alpha = \pm \beta$ or $\beta = 0$ can be found in Theorem 2 (i) - (iv) of [5]. In these cases condition (3) of Lemma 1.1 is taking to fail.

Remark 5.2. If condition (3) in Lemma 1.1 is taking to fail then there can be more general solutions: under the choice $\alpha = -\beta$, equation (45) is satisfied by any odd functions with F = constant; cf. Remark 3 in [6].

5.2. **The second example.** In their paper [6] the authors consider functional equation

(52)
$$F(y) - F(x) = (y - x) \sum_{i=1}^{n} a_i f(\alpha_i x + (1 - \alpha_i) y),$$

where $\sum_{i=1}^{n} a_i \neq 0$ and $\beta_i = 1 - \alpha_i$ (i = 1, ..., n). In what follows we also suppose that the parameters α_i 's are different to satisfy condition (3) in Lemma 1.1; see remark 5.2. Using Lemma 1.1, Lemma 1.2 and Theorem 1.3 the solutions are generalized polynomials of degree at most 2n - 1. Therefore we should solve functional equations of type

(53)
$$\sum_{i=1}^{n} a_i f(\alpha_i x + (1 - \alpha_i) y) = c_p \sum_{l=0}^{p} x^p y^{p-l},$$

where p = 0, 1, ..., 2n-1 and $\sum_{i=1}^{n} a_i \neq 0$. The following Lemma shows that condition $\sum_{i=1}^{n} a_i \neq 0$ means the disqualification of non-zero solutions of the homogeneous equation.

Lemma 5.3. For any $p \geq 1$ we have that S_p^0 belonging to the solutions in the homogeneous case $\tilde{c}_p = 0$ is trivial for any finitely generated subfield $K \subset \mathbb{C}$ containing the parameters α_i and β_i (i = 1, ..., n).

Proof. Substituting x = y in equation

(54)
$$\sum_{i=1}^{n} a_i f(\alpha_i x + (1 - \alpha_i) y) = 0$$

we have that f(x) = 0 because of $\sum_{i=1}^{n} a_i \neq 0$.

Remark 5.4. Equation (54) is widely studied under the condition $\sum_{i=1}^{n} a_i = 0$; [1], [3], see also [14]. The special choice $\beta_i = 1 - \alpha_i$ (i = 1, ..., n) allows us to conclude a descending tendency in the space of the solutions of the homogeneous equation in general: the existence of a nonzero monomial solution of degree p > 1 implies the existence of nonzero monomial solutions of degree p - 1, ..., 1 too; see [1] and [3], Example 4.6. This means that condition $\sum_{i=1}^{n} a_i \neq 0$ is essential to conclude Lemma 5.3; cf. Remark 2 in [6].

Remark 5.5. The proof of Lemma 5.3 is also working in a more general situation according to the rational homeogenity of p-additive functions: let us choose rational numbers $r_1, \ldots r_n$ such that the elements

$$\mathbf{r}_1 = (r_1, \dots, r_n), \dots, \mathbf{r}_{2n-1} := (r_1^{2n-1}, \dots, r_n^{2n-1})$$

belong to the half-space defined by $\langle \alpha, \mathbf{x} \rangle > 0$, where $\alpha = (\alpha_1, \dots, \alpha_n)$. If $\beta_i = r_i - \alpha_i$ $(i = 1, \dots, n)$ then, by repeating the steps of the proof of Lemma 5.3, it can be easily

seen that S_p^0 is trivial for any p = 1, ..., 2n - 1. The construction is the general version of Remark 4 in [6].

In the sense of the previous lemma each monomial term in the solution of equation (52) must be the proportional of x^p (p = 1, ... 2n - 1) (see also Theorem 1 in [6]). Now we apply conditions of Theorem 3.3 and Theorem 4.1 under the choice $\phi(x) = x$, $\phi_1(x) = \phi_2(x) = x$, ..., $\phi_1(x) = \phi_2(x) = ... = \phi_p(x) = x$, respectively:

(55)
$$\sum_{i=1}^{n} a_i \alpha_i^p = \sum_{i=1}^{n} a_i (1 - \alpha_i)^p = \sum_{i=1}^{n} a_i \binom{p}{l} \alpha_i^l (1 - \alpha_i)^{p-l} = \tilde{c}_p \neq 0,$$

where l = 1, ..., p - 1. Under the choice l = p - 1 we have that

$$\sum_{i=1}^{n} a_i \binom{p}{p-1} \alpha_i^{p-1} (1 - \alpha_i) = \tilde{c}_p \quad \Rightarrow \quad \sum_{i=1}^{n} a_i \alpha_i^{p-1} = \frac{p+1}{p} \tilde{c}_p =: \tilde{c}_{p-1}.$$

If l = p - 2 then

$$\sum_{i=1}^{n} a_i \binom{p}{p-2} \alpha_i^{p-2} (1-\alpha_i)^2 = \tilde{c}_p \quad \Rightarrow \quad \sum_{i=1}^{n} a_i \alpha_i^{p-2} = \frac{p+1}{p-1} \tilde{c}_p.$$

Under the choice l = p - 3:

$$\sum_{i=1}^{n} a_i \binom{p}{p-3} \alpha_i^{p-3} (1-\alpha_i)^3 = \tilde{c}_p \quad \Rightarrow \quad \sum_{i=1}^{n} a_i \alpha_i^{p-3} = \frac{p+1}{p-2} \tilde{c}_p$$

and so on. Therefore system (55) is equivalent to

(56)
$$\sum_{i=1}^{n} a_{i} \alpha_{i}^{p} = \tilde{c}_{p}$$

$$\sum_{i=1}^{n} a_{i} \alpha_{i}^{p-1} = \frac{p+1}{p} \tilde{c}_{p} = \tilde{c}_{p-1}$$

$$\sum_{i=1}^{n} a_{i} \alpha_{i}^{p-2} = \frac{p+1}{p-1} \tilde{c}_{p} = \frac{p}{p-1} \tilde{c}_{p-1}$$

$$\sum_{i=1}^{n} a_{i} \alpha_{i}^{p-3} = \frac{p+1}{p-2} \tilde{c}_{p} = \frac{p}{p-2} \tilde{c}_{p-1}$$

System (56) shows a kind of descending tendency in this case. If the solution of (52) contains a monomial term of degree p with $\tilde{c}_p \neq 0$ then we also have a monomial term of degree p-1 with $\tilde{c}_{p-1} \neq 0$.

5.3. The third example. Using some linear substitutions, it can be easily seen that the homogeneous equation (54) is equivalent to

(57)
$$\sum_{i=1}^{n} a_i f(x + \beta_i y) = 0$$

for some parameters β_i 's. Therefore we are motivated to investigate the inhomogeneous case under the choice of the parameters $\alpha_i = 1$ (i = 1, ..., n). Consider

functional equation

(58)
$$F(y) - F(x) = (y - x) \sum_{i=1}^{n} a_i f(x + \beta_i y),$$

where $\sum_{i=1}^{n} a_i \neq 0$ and β_i 's are different parameters. According to the equivalence of (57) and (54) in the homogeneous case there is no need to repeat the investigation of S_1^0, \ldots, S_p^0 . We can directly conclude that each monomial term in the solution of equation (58) must be the proportional of x^p $(p = 1, \ldots 2n - 1)$ as the solution of the functional equations of type

(59)
$$\sum_{i=1}^{n} a_i f(x + \beta_i y) = c_p \sum_{l=0}^{p} x^p y^{p-l},$$

where p = 0, 1, ..., 2n - 1 and $\sum_{i=1}^{n} a_i \neq 0$. Using conditions of Theorem 3.3 and Theorem 4.1 under the choice $\phi(x) = x$, $\phi_1(x) = \phi_2(x) = x$, ..., $\phi_1(x) = \phi_2(x) = \dots = \phi_p(x) = x$, respectively:

$$\sum_{i=1}^{n} a_i = \sum_{i=1}^{n} a_i \beta_i^p = \sum_{i=1}^{n} a_i \binom{p}{l} \beta_i^{p-l} = \tilde{c}_p \neq 0,$$

where $l=1,\ldots,p-1$. Observe that for any $p=1,\ldots,2n-1$ we have a universal constant \tilde{c}_p because of $\tilde{c}_p=\sum_{i=1}^n a_i \ (p=1,\ldots,2n-1)$. This means that the solution must be the proportional of x^p for a uniquely determined value of the power p. Therefore there is no descending tendency and the family of the parameters α_i and $1-\alpha_i$ $(i=1,\ldots,n)$ or $\alpha_i=1$ and β_i $(i=1,\ldots,n)$ represent essentially different classes of functional equations in the inhomogeneous case.

5.4. The fourth example. In their paper [7] the authors consider functional equation

(60)
$$F(y) - F(x) = (y - x) (af(x) + (1 - a)f(\lambda x + (1 - \lambda)y)),$$

i.e. n = 2, $a_1 = a$, $a_2 = 1 - a$, $\alpha_1 = 1$, $\alpha_2 = \lambda$, $\beta_1 = 0$, $\beta_2 = (1 - \lambda)$, where $\lambda \neq 0$, 1 and $a \neq 0$. Using Lemma 1.1, Lemma 1.2 and Theorem 1.3 the solutions are generalized polynomials of degree at most 3. Therefore we should solve functional equations

(61)
$$af(x) + (1 - a)f(\lambda x + (1 - \lambda)y) = c_0,$$

(62)
$$af(x) + (1-a)f(\lambda x + (1-\lambda)y) = c_1 \cdot (x+y),$$

(63)
$$af(x) + (1-a)f(\lambda x + (1-\lambda)y) = c_2 \cdot (x^2 + x \cdot y + y^2),$$

(64)
$$af(x) + (1-a)f(\lambda x + (1-\lambda)y) = c_3 \cdot (x^3 + x^2 \cdot y + x \cdot y^2 + y^3)$$

for some constants belonging to the possible values of p=0,1,2,3. Equation (61) is trivial; the solutions are the constant functions (monomial terms of degree zero). The translation parts of a non-zero particular solution of the inhomogeneous equations depends on the size of S_1^0 , S_2^0 and S_3^0 . We are going to prove that all of them are trivial:

$$\sum_{i=1}^{2} a_i \phi(\alpha_i) = \sum_{i=1}^{2} a_i \phi(\beta_i) = 0$$

implies that

$$a + (1 - a)\phi(\lambda) = (1 - a)(1 - \phi(\lambda)) = 0,$$

i.e. $\lambda = 1$ (which is a contradiction) or a = 1 and a = 0 at the same time. The contradictions show that S_1^0 contains only the identically zero function. Using that $\phi(1) = 1$ and $\phi(0) = 0$ for any automorphism of \mathbb{C} the second order conditions

$$\sum_{i=1}^{2} a_i \phi_1(\alpha_i) \phi_2(\alpha_i) = \sum_{i=1}^{2} a_i \phi_1(\beta_i) \phi_2(\beta_i) = \sum_{i=1}^{2} a_i \binom{2}{1} \phi_{\sigma(1)}(\alpha_i) \phi_{\sigma(2)}(\beta_i) = 0$$

give the same contradictions as above because of $\lambda \neq 0, 1$. This means that S_2^0 is trivial. The third order conditions

$$\sum_{i=1}^{2} a_{i}\phi_{1}(\alpha_{i})\phi_{2}(\alpha_{i})\phi_{3}(\alpha_{i}) = \sum_{i=1}^{2} a_{i}\phi_{1}(\beta_{i})\phi_{2}(\beta_{i})\phi_{3}(\beta_{i}) =$$

$$\sum_{i=1}^{2} a_{i}\binom{3}{1}\phi_{\sigma(1)}(\alpha_{i})\phi_{\sigma(2)}(\beta_{i})\phi_{\sigma_{3}}(\beta_{i}) =$$

$$\sum_{i=1}^{2} a_{i}\binom{3}{2}\phi_{\sigma(1)}(\alpha_{i})\phi_{\sigma(2)}(\alpha_{i})\phi_{\sigma(3)}(\beta_{i}) = 0$$

can be also given as a simple system of equations because of $\beta_1 = 0$. An easy computation shows that S_3^0 is trivial. As the next step consider the particular nonzero solutions of equations (62), (63) and (64).

(i) For the existence of the additive (monomial term of degree 1) term in the solution it is sufficient and necessary that

$$\sum_{i=1}^{2} a_i \alpha_i = \sum_{i=1}^{2} a_i \beta_i = \tilde{c}_1 \neq 0.$$

Especially $a + (1-a)\lambda = (1-a)(1-\lambda) = \tilde{c}_1 \neq 0$, i.e. $a \neq 1$ and

$$(65) 2\lambda = 1 - \frac{a}{1-a}$$

implies that $a \neq 1/2$ because of $\lambda \neq 0, 1$.

(ii) For the existence of the monomial term of degree 2 in the solution it is sufficient and necessary that

$$\sum_{i=1}^{2} a_i \alpha_i^2 = \sum_{i=1}^{2} a_i \beta_i^2 = \sum_{i=1}^{2} a_i {2 \choose 1} \alpha_i \beta_i = \tilde{c}_2 \neq 0.$$

Especially $a + (1 - a)\lambda^2 = (1 - a)(1 - \lambda)^2 = 2\lambda(1 - \lambda) = \tilde{c}_2 \neq 0$, i.e. $\lambda = 1/4$ and a = 1/3. Note that (65) is satisfied under these special values, i.e. we can see the descending tendency too.

(iii) For the existence of the monomial term of degree 3 in the solution it is sufficient and necessary that

$$\sum_{i=1}^{2} a_i \alpha_i^3 = \sum_{i=1}^{2} a_i \beta_i^3 = \sum_{i=1}^{2} a_i \binom{3}{1} \alpha_i \beta_i^2 = \sum_{i=1}^{2} a_i \binom{3}{2} \alpha_i^2 \beta_i = \tilde{c}_3 \neq 0.$$

Especially

$$a + (1 - a)\lambda^3 = (1 - a)(1 - \lambda)^3 = 3(1 - a)\lambda(1 - \lambda)^2 =$$

$$3(1-a)\lambda^2(1-\lambda) = \tilde{c}_3 \neq 0$$

Therefore

$$\frac{a}{1-a} + \lambda^3 = (1-\lambda)^3 = 3\lambda(1-\lambda)^2 = 3\lambda^2(1-\lambda).$$

From equation

$$3\lambda(1-\lambda)^2 = 3\lambda^2(1-\lambda)$$

it follows that $\lambda = 1/2$ which contradicts to equation

$$(1 - \lambda)^3 = 3\lambda(1 - \lambda)^2$$

and there is no monomial term of degree 3 in the solution; cf. Theorem 8 in [7].

5.5. The fifth example. In their paper [7] the autors consider functional equation

(66)
$$F(y) - F(x) = (y - x) \left(af(\lambda x + (1 - \lambda)y) + (1 - a)f((1 - \lambda)x + \lambda y) \right),$$

i.e. $n=2, a_1=a, a_2=1-a, \alpha_1=\lambda, \alpha_2=1-\lambda, \beta_1=(1-\lambda), \beta_2=\lambda$, where $\lambda \neq 0, 1$ and $a \neq 0$. Using Lemma 1.1, Lemma 1.2 and Theorem 1.3 the solutions are generalized polynomials of degree at most 3. Since it is a special case of the second example with $\sum_{i=1}^2 a_i = 1 \neq 0$ we can directly conclude that S_1^0, S_2^0 and S_3^0 are trivial. To avoid some unnecessary repetitions we summarize the basic results (see example 5.2):

(i) for the existence of the additive (monomial term of degree 1) term in the solution it is sufficient and necessary that

$$a\lambda + (1-a)(1-\lambda) = a(1-\lambda) + (1-a)\lambda = \tilde{c}_1 \neq 0.$$

Equation $a\lambda + (1-a)(1-\lambda) = a(1-\lambda) + (1-a)\lambda$ implies that

$$(1-2a)(1-2\lambda) = 0$$
,

i.e.
$$a = 1/2$$
 or $\lambda = 1/2$.

(ii) For the existence of the monomial term of degree 2 in the solution it is sufficient and necessary that

$$a\lambda^{2} + (1-a)(1-\lambda)^{2} = a(1-\lambda)^{2} + (1-a)\lambda^{2} = 2a\lambda(1-\lambda) + 2(1-a)(1-\lambda)\lambda = \tilde{c}_{2} \neq 0.$$

Since we know that the descending tendency holds in the space of the solutions it is enough to check the values a=1/2 or $\lambda=1/2$ as we have seen in (i). If a=1/2 then we have that

$$6\lambda^2 - 6\lambda + 1 = 0$$
, i.e. $\lambda_{12} = \frac{3 \pm \sqrt{3}}{6}$.

In case of $\lambda = 1/2$ we have a contradiction:

$$\frac{a}{4} + \frac{1-a}{4} = \frac{a}{4} + \frac{1-a}{4} = \frac{a}{2} + \frac{1-a}{2} = \tilde{c}_2 \neq 0.$$

(iii) For the existence of the monomial term of degree 3 in the solution it is sufficient and necessary that

$$a\lambda^{3} + (1-a)(1-\lambda)^{3} = a(1-\lambda)^{3} + (1-a)\lambda^{3} =$$

$$3a\lambda(1-\lambda)^{2} + 3(1-a)(1-\lambda)\lambda^{2} =$$

$$3a\lambda^{2}(1-\lambda) + 3(1-a)(1-\lambda)^{2}\lambda = \tilde{c}_{3} \neq 0.$$

According to the descending tendency it is enough to check the values

$$a = \frac{1}{2}$$
 and $\lambda_{12} = \frac{3 \pm \sqrt{3}}{6}$.

A direct computation shows that they satisfy the necessary and sufficient conditions; cf. Theorem 9 in [7].

6. Concluding remarks

The application of the spectral analysis in the solution of linear functional equations provides a unified method of treatment of the equations in both the homogeneous and inhomogeneous cases. In case of inhomogeneous problems this gives a necessary condition for the existence of the nonzero solutions. The sufficiency also follows in some special cases and the spectral analysis allows us to describe all solutions provided that $S_1^0, \ldots, S_{2n-1}^0$ are trivial, i.e. they contain only the identically zero function. It depends only on the algebraic properties of the parameters. These algebraic properties are accumulated in the characteristic polynomial of the functional equation; see Remark 3.7 and Example 3.8 (theoretical examples). An explicite example for non-trivial S_1^0 can be found in section 3 of [15]. In this case we need the so-called spectral synthesis to decide the existence of the nonzero particular solution of the functional equation. On the other hand the spectral synthesis helps us to describe the entire space of the solutions on a large class of finitely generated fields; see [18].

7. Acknowledgement

The authors would like to thank to Adrienn Varga for paying our attention to Szostok's problem (the 51st International Symposium on Functional Equations, Rzeszów, Poland, June 16-23, 2013).

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